

# Schroders Personal Wealth (ACD) ISA Application Form

Please write clearly in BLOCK CAPITALS with a BLACK ballpoint pen in the white spaces or mark (☒) in the boxes.

For the 2020/2021 tax year and where relevant, each subsequent tax year until further notice.

- If you have any queries when completing this form, or would like to receive a copy of the Fund Prospectus, please contact your financial adviser or call Schroders Personal Wealth (ACD) on 0344 822 8910. Alternatively, an electronic copy can be downloaded from Schroders Personal Wealth (ACD) website at [schroderspw.co.uk/fund-info](http://schroderspw.co.uk/fund-info)
- If you are investing a lump sum, please make your cheque payable to: Schroders Personal Wealth (ACD).
- Third party cheques will not be accepted. Cheques are to be drawn on a UK bank account in GBP only.
- If you are investing by Direct Debit, please complete section 2 and section 5, and keep a copy of the Direct Debit Guarantee.
- Please make sure you have signed the declaration in section 4 and entered the fund's name(s) in section 2.

Return address: Schroders Personal Wealth (ACD), PO Box 560, Darlington DL1 9ZB

## 1 Your details

- We must have your National Insurance Number and Date of Birth in order to be able to process your application. Please let us know if you don't have a National Insurance Number (NINO) by marking (☒) in the box below.
- We cannot accept 'care of' addresses.

Title	Mr	Mrs	Miss	Ms	Other title	Country of address	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	
Gender	Female	Male					Country of birth
	<input type="checkbox"/>	<input type="checkbox"/>					<input type="text"/>
Surname	<input type="text"/>					Date of birth	
Forename(s)	<input type="text"/>					<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Residential address	<input type="text"/> <input type="text"/> <input type="text"/>					Daytime telephone number and email address	
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>					<input type="text"/> Telephone (day) <input type="text"/> Email <input type="text"/>	
						National Insurance number	
						<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
						I do not have a National Insurance number	
						<input type="checkbox"/>	

To be completed by Financial Advisers (mark (☒) only)

Advised investment

OR

Non-advised (execution only) investment

Broker stamp required for validity (not transferable)

Schroders Personal Wealth (ACD) Agent ID:

## 2 Investment choice

- Select which fund(s) you wish to invest in from the list available on Schroders Personal Wealth (ACD) website, and enter the fund name(s) and share class in the section below.
- Mark to select either income or accumulation Shares for each fund. If you choose to have income Shares then please also complete section 3.
- If you are investing a lump sum, tell us how much to invest in each fund below and make your cheque payable to: Schroders Personal Wealth (ACD). The minimum investment per fund is £1,000.
- If you are investing by Direct Debit from your bank or building society, tell us how much you wish to invest each month in each fund below and ensure you have completed section 5. The minimum investment per fund is £50 per month. Please also indicate the day in the month you would like your collection to be made.
- Please note that there is no option of Direct Debit investment for funds within the Multi-Manager ICVC.
- If you want to invest through the combination of a lump sum and a regular monthly sum, you can also do so below.

Fund	Income Shares	or	Accumulation Shares	Lump sum (Minimum is £1,000 per fund)	and/or	Regular savings (Minimum is £50 per fund)
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>

Please collect my monthly payment on the   day of each month.

## 3 Income

- Please complete this section for your income to be paid directly into your bank or building society account.
- If this section is not completed and you have chosen income Shares in section 2, the form will be rejected as incomplete.
- We cannot make a payment into an account which is not in your name.

Name of bank/building society <input type="text"/>	Name of bank/building society account holder <input type="text"/>
Bank's/building society's full address <input type="text"/> <input type="text"/> <input type="text"/>	Building society roll number (if applicable) <input type="text"/>
Current account <input type="checkbox"/>	Savings account <input type="checkbox"/>
Postcode <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Account number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Sort Code <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

# 4 Declaration

Please read through the declaration and, if you agree with it, sign and date the appropriate boxes below.

## Money Laundering

I understand that Schroders Personal Wealth (ACD) may need to use credit reference/information agencies in order to satisfy Schroders Personal Wealth (ACD) statutory money laundering obligations. These agencies may keep a record of this enquiry.

I apply to subscribe for a stocks and shares ISA for tax year 2020/2021 and each subsequent year until further notice and I declare that:

- A. I am aged 18 years or over and am subscribing with my own money.
- B. I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Schroders Personal Wealth (ACD) if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.  
I will inform Schroders Personal Wealth (ACD) if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
- C. I have not subscribed and will not subscribe more than the overall subscription limit (£20,000) in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year. I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- D. I will inform the ISA Manager promptly of any changes in my personal details as shown on this document.
- E. I am not a US Person as defined in the Terms and Conditions and the Prospectus and that I will notify Schroders Personal Wealth (ACD) immediately if my status changes to become a US Person.
- F. I have been provided with the relevant Key Investor Information Document(s) and Supplementary Investor Information Document.

I understand that any false declaration may render my ISA void, in which case all tax benefits obtained will need to be repaid to HM Revenue and Customs with interest and/or any penalty that may be imposed.

## I authorise Schroders Personal Wealth (ACD):

- i. to hold in my Schroders Personal Wealth (ACD) ISA my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- ii. to make on my behalf any claims to relief from tax in respect of my ISA investments.

I agree that this ISA, the details of which are shown overleaf, will be governed by the Terms and Conditions.

I understand and accept that this application is subject to the current applicable Schroders Personal Wealth (ACD) Prospectus and Terms and Conditions. I also confirm that I have been provided with the relevant Key Investor Information Documents and Supplementary Investor Information Document for each fund.

I hereby declare that to the best of my knowledge and belief all the information stated above is true and correct.

Signed

Date

D	D	M	M	Y	Y	Y	Y
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## How we use your information

For the purposes of the General Data Protection Regulation, the data controller in relation to any personal data you supply is Schroders Personal Wealth (ACD). Information you supply may be processed for the purposes of investment administration by Schroders Personal Wealth (ACD), by third parties who provide services to Schroders Personal Wealth (ACD) and by your financial adviser, and such processing may include the transfer of data out of the European Economic Area.

You can find out more about how we use your personal information by visiting our website to view our full privacy notice on <https://www.spw.com/privacy-policy>

# 5 Instruction to your bank or building society to pay by Direct Debit

- Please only complete this section if you wish to invest by Direct Debit. For joint bank or building society accounts please ensure both account holders sign the instruction.
- Your Direct Debit will be collected on the date of your choice as stated in section 2.



Please fill in the whole form including official use box using a ballpoint pen and send it to:

Schroders Personal Wealth (ACD)
PO Box 560
Darlington
DL1 9ZB

Name(s) of account holder(s)


Bank/building society account number

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Branch Sort Code

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Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	

Postcode

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Service user number

1	8	8	5	2	2
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FOR Schroders Personal Wealth (ACD) OFFICIAL USE ONLY  
This is not part of the instruction to your bank or building society.

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### Instruction to your bank or building society

Please pay Schroders Personal Wealth (ACD) Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Schroders Personal Wealth (ACD) and, if so, details will be passed electronically to my bank/building society.

Signature(s)


Date

D	D	M	M	Y	Y	Y	Y
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Banks and building societies may not accept Direct Debit Instructions for some types of account



## The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Schroders Personal Wealth (ACD) will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Schroders Personal Wealth (ACD) to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Schroders Personal Wealth (ACD) or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
  - If you receive a refund you are not entitled to, you must pay it back when Schroders Personal Wealth (ACD) asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

Please go to [schroderspw.co.uk](https://schroderspw.co.uk)  
or call us on **0344 822 8910**

Please contact us if you'd like this information in an alternative format such as Braille or large print.

Calls may be monitored or recorded in case we need to check we have carried out your instructions correctly and to help improve our quality of service. Not all telephone services are available 24 hours a day, 7 days a week. Please speak to an Adviser for more information. Call costs may vary depending on your service provider.

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Registered Office for both companies: 25 Gresham Street, London EC2V 7HN. Eligible investments with us are protected by the Financial Services Compensation Scheme. We are covered by the Financial Ombudsman Service.