Schroders Personal Wealth (ACD) ISA Transfer (In) Form

Please write clearly in BLOCK CAPITALS with a BLACK ballpoint pen in the white spaces or (☒) in the boxes.

This form is to be used to transfer your ISA held elsewhere to Schroders Personal Wealth (ACD).
Please read the notes carefully before completing this form and make sure you have signed all sections required.

If you have any queries when completing this form, or would like to receive a copy of the Fund Prospectus, please contact your financial adviser or call Schroders Personal Wealth (ACD) on **0344 822 8910**. Alternatively, an electronic copy can be downloaded from Schroders Personal Wealth (ACD) website at **spw.com/fund-info**

Return address: **Schroders Personal Wealth (ACD), PO Box 560, Darlington DL1 9ZB**

1 Your details

- We must have your National Insurance Number and Date of Birth in order to be able to process your application. Please let us know if you don't have a National Insurance Number by marking (☒) in the box below.
- We cannot accept 'care of' addresses.

Existing Schroders Personal Wealth (ACD) Investor Yes ☐

Schroders Personal Wealth (ACD) Investor ID (if marked 'yes' above)

Title Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other title

Gender Female ☐ Male ☐

Surname

Forename(s)

Residential address

Postcode

Country of address

Country of birth

Date of birth D D M M Y Y Y Y

Daytime telephone number and email address

Telephone (day)

Email

National Insurance number

I do not have a National Insurance number ☐

To be completed by Financial Advisers (mark (☒) only)

Advised investment ☐

OR

Non-advised (execution only) investment ☐

Broker stamp required for validity (not transferable)

Schroders Personal Wealth (ACD) Agent ID:

2 Investment choice

- Please refer to the list of fund(s) on the Schroders Personal Wealth (ACD) website and insert the appropriate fund name(s) and share class in the boxes below.
- Mark (☒) to select either income or accumulation Shares for each fund. If you choose to have income Shares then please complete section 3.
- When allocating the percentage split of your investment, please note that there is no minimum amount.

I wish to transfer monies into a Schroders Personal Wealth (ACD) ISA, investing in the funds at proportions as indicated below:

Fund Name	Income Shares		Accumulation Shares	Investment split
	☐	or	☐	%
	☐	or	☐	%
	☐	or	☐	%
	☐	or	☐	%
	☐	or	☐	%
	☐	or	☐	%

Total investment = 100%

3 Income instructions

- Please complete this section for your income to be paid directly into your bank or building society account.
- If this section is not completed and you have chosen income Shares in section 2, your form will be rejected as incomplete.
- We cannot make a payment into an account which is not in your name.

Name of bank or building society

Name of bank/building society account holder

Bank's/building society's full address

Building society roll number

Current account ☐

Savings account ☐

Postcode

Account number

Sort Code

4 Details of existing account to be transferred

- If you are transferring an ISA from the current tax year, you must transfer your entire holding.
- If you are transferring ISA holdings from previous tax years, you must specify whether you are transferring your entire holding or a specific amount in section 4.2.
- You can transfer both your current tax year ISA holding and ISA holdings from previous tax years by completing sections 4.1 and 4.2.

Name of existing ISA Manager

Account number

Address of existing ISA Manager

Telephone number

I confirm the ISA to be transferred is:

4.1 Entire current year ISA holding ☐

Postcode

4.2 ISA holding from previous tax year(s)

Transfer entire holding ☐ OR transfer amount **£**

5 Instructions to existing ISA Manager to transfer my ISA

Please complete all details required in this section. The form will be sent to your existing ISA manager to arrange the transfer.

Name of the new ISA Manager

Schroders Personal Wealth (ACD)

Title Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other title

Account number

Surname

Amount to be transferred

£ Partial amount ☐ Current Year ISA ☐

Forename(s)

All ☐

Signed

Fund name(s)

Date D D M M Y Y Y Y

I hereby instruct the ISA manager named above in section 4 (the Existing ISA Manager) as soon as reasonably practicable to liquidate the investments in the ISA(s) listed above and to transfer the ISA(s) together with the sale proceeds and interest to Schroders Personal Wealth (ACD) (the New ISA Manager) subject to acceptance.

I further instruct my Existing ISA Manager to provide Schroders Personal Wealth (ACD) with any information requested in respect of the existing ISA(s) including but not limited to transaction and dividend histories and details of the current portfolio and its value.

I understand that the cash transferred in respect of the above ISA(s) will be reinvested in accordance with the instructions provided to Schroders Personal Wealth (ACD).

6 Declaration

Please read through the declaration and, if you agree with it, sign and date the appropriate boxes below.

Money Laundering
I understand that Schroders Personal Wealth (ACD) may need to use credit reference/information agencies in order to satisfy Schroders Personal Wealth (ACD) statutory money laundering obligations. These agencies may keep a record of this enquiry.

I apply to subscribe for a Stocks and Shares ISA for tax year 2021/2022 and each successive year until further notice.

I declare that I wish to transfer the monies invested in the existing ISA detailed on this form into the Schroders Personal Wealth (ACD) ISA and that:

a. I am aged 18 years or over and am subscribing with my own money.
b. I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Schroders Personal Wealth (ACD) if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
c. I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year. I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
d. I will inform Schroders Personal Wealth (ACD) promptly of any changes in my personal details as shown on this document.
e. I am not a US Person as defined in the Terms and Conditions and the Prospectus and that I will notify Schroders Personal Wealth (ACD) immediately if my status changes to become a US Person.
f. I have been provided with the relevant Key Investor Information Document(s) and Supplementary Information Document.

I understand that any false declaration may render my Schroders Personal Wealth (ACD) ISA void, in which case all tax benefits obtained will need to be repaid to HM Revenue and Customs with interest and/or any penalty that may be imposed.

I authorise the Schroders Personal Wealth (ACD):

i. to hold in my Schroders Personal Wealth (ACD) ISA my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
ii. to make on my behalf any claims to relief from tax in respect of my ISA investments.

I agree that this ISA, the details of which are shown above, will be governed by the Terms and Conditions and the SIID.

I understand and accept that this application is subject to the current applicable Schroders Personal Wealth (ACD) Prospectus and Terms and Conditions. I also confirm that I have been provided with the relevant Key Investor Information Document(s) and Supplementary Investor Information Document for each fund.

I hereby declare that to the best of my knowledge and belief all the information stated above is true and correct.

Signed

Date D D M M Y Y Y Y

How we use your information

For the purposes of the General Data Protection Regulation, the data controller in relation to any personal data you supply is Schroders Personal Wealth (ACD). Information you supply may be processed for the purposes of investment administration by Schroders Personal Wealth (ACD), by third parties who provide services to Schroders Personal Wealth (ACD) and by your financial adviser, and such processing may include the transfer of data out of the European Economic Area.

You can find out more about how we use your personal information by visiting our website to view our full privacy notice on **spw.com/privacy-policy**

Schroders
personal**wealth**

spw.com

Please contact your Personal Wealth Adviser if you'd like this information in an alternative format such as Braille, large print or audio.

Calls may be monitored or recorded to meet regulatory requirements, to check we have carried out your instructions correctly and to help improve our quality of service. Not all telephone services are available 24 hours a day, 7 days a week. Please speak to your Adviser for more information. Call costs may vary depending on your service provider.

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Schroders Personal Wealth (ACD) is a trading name of Scottish Widows Schroder Personal Wealth (ACD) Limited. Registered in England and Wales No. 11722973. Authorised and regulated by the Financial Conduct Authority number 834833.

Registered Office for both companies: 25 Gresham Street, London EC2V 7HN. Eligible investments with us are protected by the Financial Services Compensation Scheme. We are covered by the Financial Ombudsman Service.

SPW00127 (04/21)